Designed to Fail

Why Most Commonly Used Designs Will Fail and How to Fix Them

Richard C. Cote, P.E., Vice President, CEI Matthew Lundsted, P.E., Principle, CEI Rebecca Balke, P.E., Principle, CEI Eileen Pannetier, President, CEI

Comprehensive Environmental Inc.

Presented at the Cold Climate Conference
Portland, Maine, November 5, 2003



Overview

- Background
- Most common BMPs reviewed
- Reasons BMPs Fail in the Northeast
 - Design and plan review failures
 - Construction not according to design (usually lack of adequate inspection)
 - Maintenance not done
- Top 10 reasons for design failure and how to fix them
- Conclusions



Background

- 1998-2003 intensive **field reviews** of BMPs by CEI in
 one watershed, 3 communities
 revealed most had failed
- Extensive **plan reviews**, some of approved projects, for communities in 3 states revealed many serious flaws
- CEI's experience in designing, constructing and monitoring a wide range of BMPs identified a lack of published design criteria



Most Commonly Used BMPs in Private Developments

- Detention basins
- Proprietary units
- Infiltration beds
- Wet ponds
- Wetlands treatment



Top 10 Reasons for Design Failure

- 1. Undersized units need excessive maintenance and won't work well
- 2. Lack of recognition of quantity/velocity/scour issues
- 3. Design does not include a pretreatment component
- 4. Pollutants of concern not addressed by selected BMP
- 5. Design basis calculations assume unrealistic level of maintenance
- 6. Maintenance needs/failure hidden from view; some designs include unneeded bypass features
- 7. Cleanouts inaccessible or difficult to reach
- 8. Site not segregated by quality factors; single BMP
- 9. Not sized for performance decline over time
- 10. Design not appropriate for site



Is it a maintenance or design issue?

- Failure often blamed on inadequate maintenance, but if maintenance is unreasonable, then it's a design failure
- Many private designs focus on minimizing capital costs and size, but this results in higher O&M costs for operation
- Other issues, such as unrealistic runoff calculations, are also clearly design issues but are often not caught during review



Approved CN Values for the NRCS Methods (TR-20, TR-55)

Pre-Construction Runoff Curve Number (CN Values)	Hydrologic Soil Group			
	A	В	С	D
Open space such as lawns, parks, and cemeteries2				
Poor condition (grass cover <50%)	68	79	86	89
Fair condition (grass cover 50% to 75%)	49	69	70	84
Good condition (grass cover > 75%)	39	61	74	80
Woods and forest ^{3, 4}	30	55	70	77
Impervious areas such as paved parking lots, driveways and roofs	98	98	98	98
Gravel roads (processed, dense graded)	76	85	89	91
Dirt roads	72	82	87	89
Newly graded pervious areas (no vegetation)	77	86	91	94
Post-Construction Runoff-Curve Number (CN Value)	A	В	С	D
Open space such as lawns, parks, and cemeteries2	68	79	86	89
Woods and forest that is selectively cleared ¹	36	60	73	79
Impervious areas such as paved parking lots, driveways and roofs	98	98	98	98
Gravel roads (processed, dense graded)	76	85	89	91
Dirt roads	72	82	87	89
Newly graded pervious areas (no vegetation)	77	86	91	94

TR-55, 1986

Pre-submittal Clearing

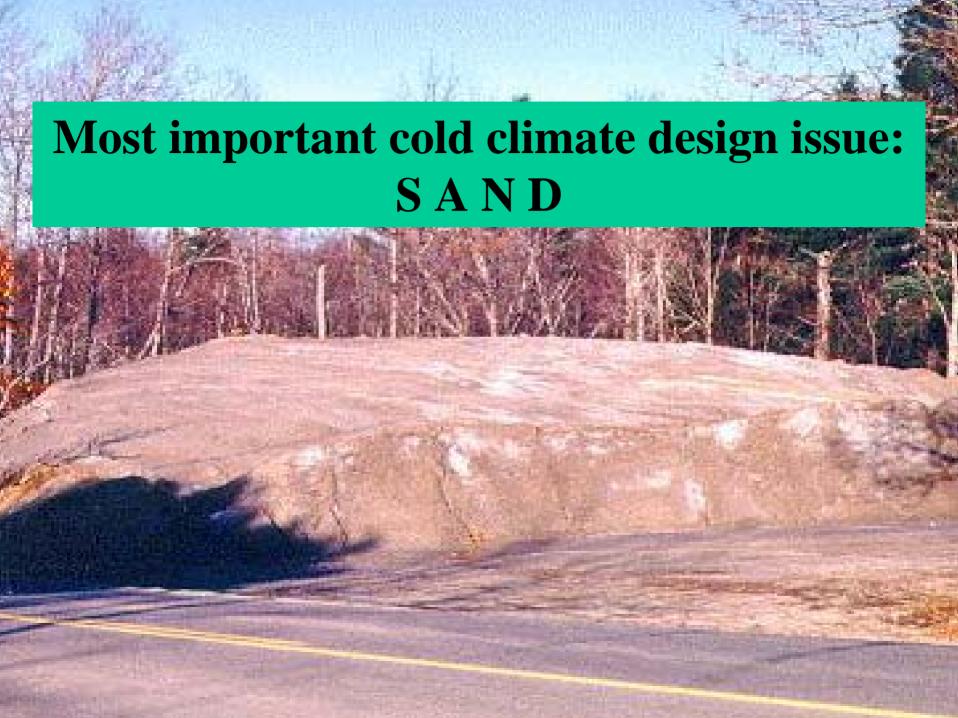
"Sites wooded in the last 5 yrs must be considered undisturbed woods for all preconstruction runoff conditions, regardless of clearing or cutting activities that may have occurred on the site during that pre-application period." The purpose is to discourage presubmittal clearing that sometimes results in undersized stormwater facilities.



No. 1: Undersized BMPs

- Problem: undersized BMPs often means eventual failure due to:
 - Unreasonable maintenance frequency
 - Manufacturer's sizing recommendations not followed or call for unrealistic schedule of maintenance
 - Frequent maintenance is burdensome and likely to be skipped when schedules are tight





Typical Sand Loads

- 1,000 lbs per acre
 (spreading rate of common
 Swenson spreader)
- 5,000 lbs for 5-acre parking lot (typical small mall)
- 5 storms per winter = 25,000 lbs (12 ½ tons or 8.3 cubic yards)







No. 1: Undersized BMPs



No. 1: Undersized BMPs

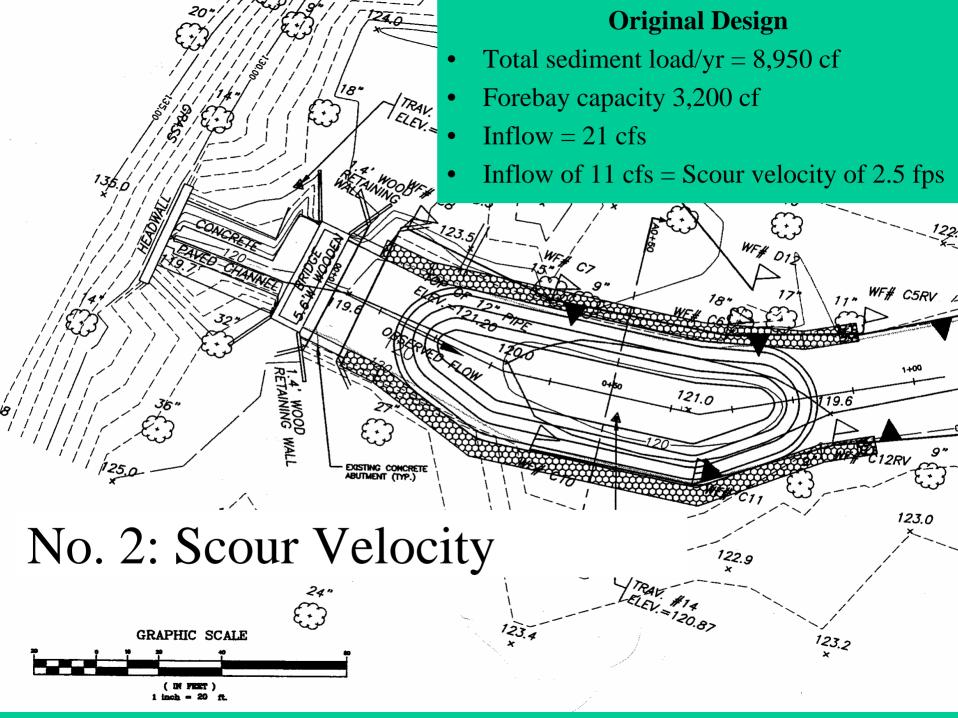
- Recommendation
 - Size toaccommodate 1year sand/sedimentminimum
- - Design frequency
 of maintenance
 should be no more
 than annual



No. 2: Scour Velocity

- Problem: focus on "any BMP is better than nothing" may lead to unrealistic designs that:
 - Result in BMPs that can't handle the volume of discharge
 - Wash out soon after built because they reach scour velocities without adequate armoring
 - Will resuspend and wash out collected pollutants from smaller storms



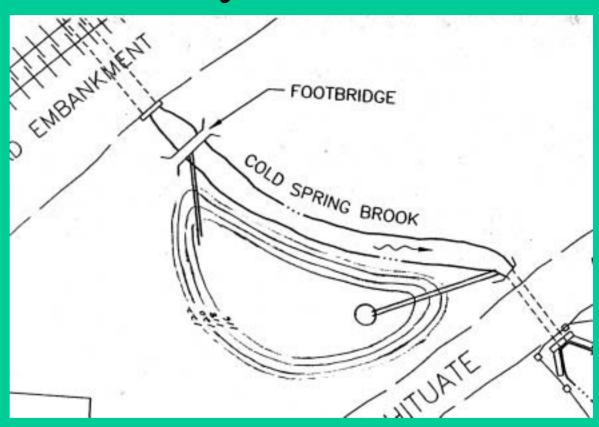


No. 2: Scour Velocity

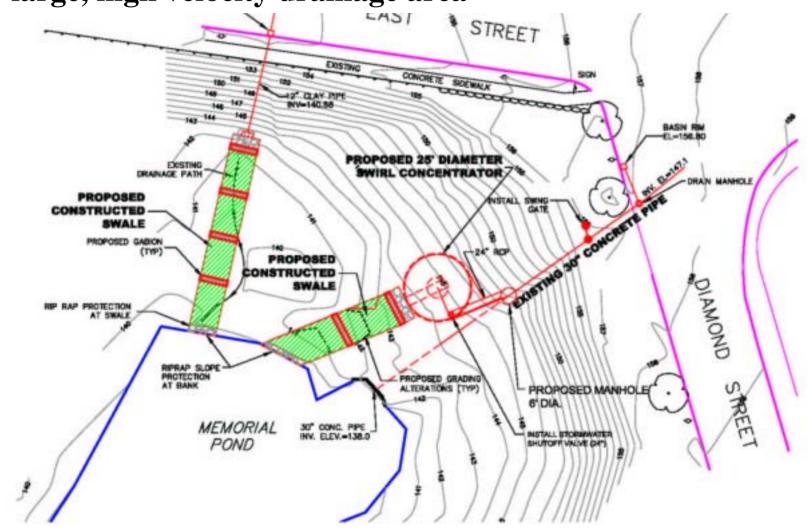
• Recommendations:

- Large drainage

 areas need a
 treatment train, not
 a single BMP
- Offline treatment may keep size and cost down, and effectiveness up



Treatment train that includes offline diversion for a large, high velocity drainage area



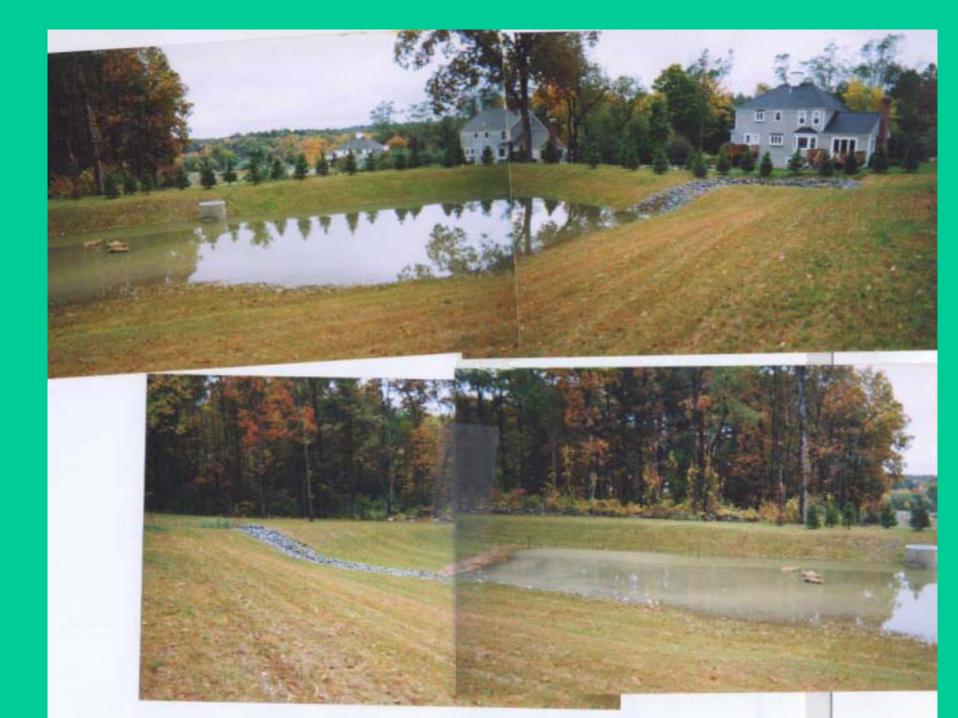
No. 3: No Pretreatment

• Problem:

- Many BMPs are going in without adequate pretreatment
- Most problematic:
 - Wetlands treatment
 - Underground units





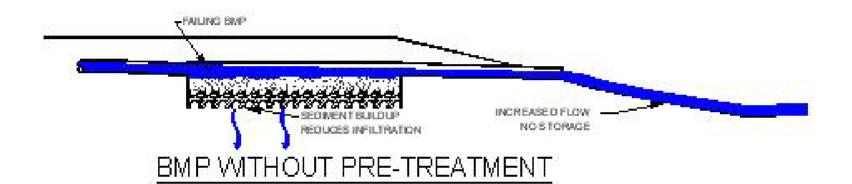


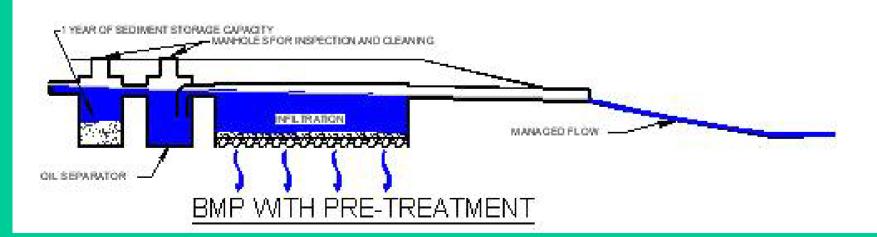
No. 3: No Pretreatment

- Recommendation:
 - To trap sand, all BMPs in cold climates should have pretreatment
 - Forebays, deep sumps, baffle tanks and similar traps will work if:
 - Accessible
 - Easily cleanable
 - Adequately sized, placed in multiples if needed
 - CEI recommends using sand traps separate from hoods to avoid breakage



No. 3: No Pretreatment





No. 4: Ineffective for site pollutants



No. 5: Unrealistic Maintenance

Assumptions

Problem: estimates of loadings sometimes use unrealistic assumptions.
Example: one design assumed that the parking lot would be swept weekly.



No. 6: Maintenance Needs

Hidden

• Problem:

- Most underground units are "out of sight, out of mind"
- Some have bypasses to prevent backing up and flooding if not maintained
- Some designs make it difficult for inspectors to see if maintenance is needed



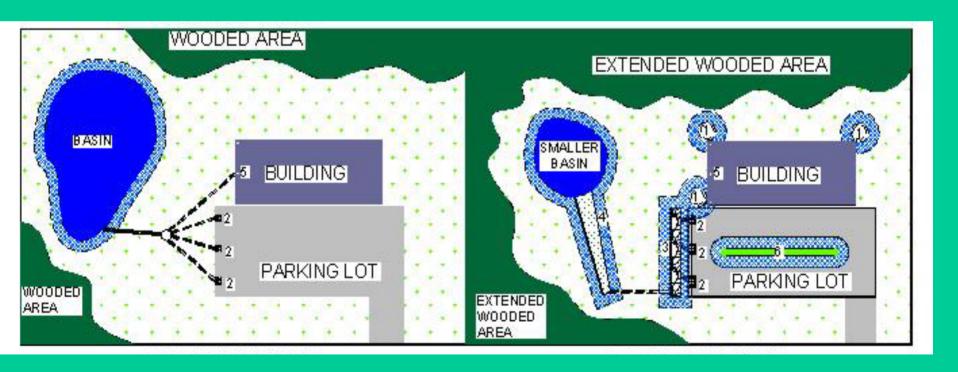
No. 7: Cleanouts Inaccessible or Non-Existent

• Problem: no way to maintain the BMP due to long reach; steep slope or other reason for inaccessibility to the equipment used by the community or site owner



No. 8: One Size Fits All Approach

- Problem: many site engineers are using the same BMPs for every situation
- Recommendation: BMPs need site specific design that matches sites pollutants, site constraints and minimizes imperviousness to begin with



No. 9: Not designed using worst case criteria

- Problem: Most BMPs designed today make optimistic assumptions:
 - Overall site use is optimal, for example, "good" or "excellent" forest; no compaction of playground soils
 - No eventual decline in performance over time
 - Rapid exfiltration at all times
- Recommendation: BMPs need to be designed for worst case instead of the most optimistic assumptions available.



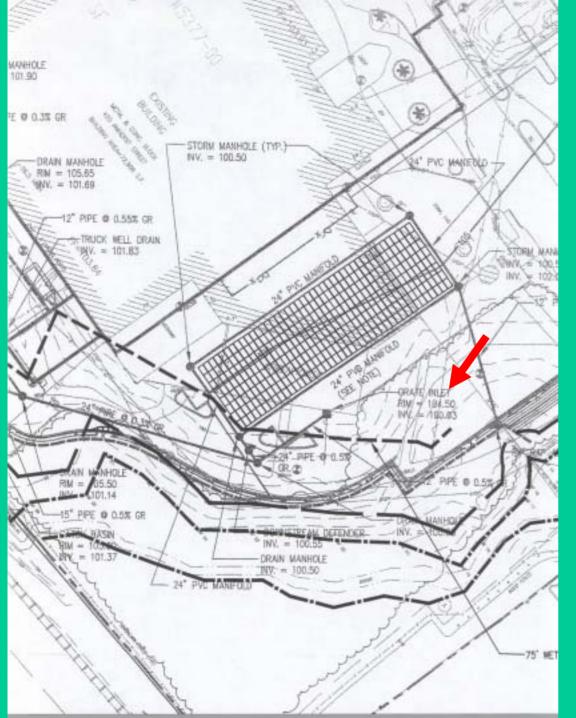
Above site was designed assuming that the post condition would be "good" condition grass cover >75%

No. 10: Design doesn't fit site

Problem: Sites with specific constraints, such as shallow groundwater, matched with designs that won't work under the site's constraints



Sediment forebay in area of high groundwater near a landfill. Discharge is below surface of groundwater which appears to contain leachate.



No. 10 Design Doesn't Fit Site

Infiltration galleries proposed but invert is at 100 and groundwater shown at 98-100 feet. A minimum of 3 feet to seasonal high groundwater is needed.



No. 10: Design doesn't fit site

Recommendations:

- Borings needed before final design
- Most BMPs should drain within 48 hours to avoid mosquito breeding
- Need 3 foot separation from seasonal high groundwater





Conclusions

- Talking about maintenance is not enough; reduced maintenance needs to be built into the designs
- Boards often assume that the engineering is being reviewed, but many of the techniques used today are not "standard" engineering and may appear adequate to the reviewer
- It is unrealistic to assume that BMPs will be cleaned out more than 1/year
- Communities can
 - 1) adopt design criteria in regulations;
 - 2) create review checklists;
 - 3) specify O&M requirements; and
 - 4) have specialized plan reviews or training for municipal reviewers

